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Year: 2018

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## **The Most Developmentally Truncated Fishes Show Extensive Hox Gene Loss and Miniaturized Genomes**

Malmstrøm, Martin ; Britz, Ralf ; Matschiner, Michael ; Tørresen, Ole K ; Hadiaty, Renny Kurnia ; Yaakob, Norsham ; Tan, Heok Hui ; Jakobsen, Kjetill Sigurd ; Salzburger, Walter ; Rüber, Lukas

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DOI: <https://doi.org/10.1093/gbe/evy058>

Posted at the Zurich Open Repository and Archive, University of Zurich

ZORA URL: <https://doi.org/10.5167/uzh-167271>

Journal Article

Published Version



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Originally published at:

Malmstrøm, Martin; Britz, Ralf; Matschiner, Michael; Tørresen, Ole K; Hadiaty, Renny Kurnia; Yaakob, Norsham; Tan, Heok Hui; Jakobsen, Kjetill Sigurd; Salzburger, Walter; Rüber, Lukas (2018). The Most Developmentally Truncated Fishes Show Extensive Hox Gene Loss and Miniaturized Genomes. *Genome Biology and Evolution*, 10(4):1088-1103.

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# The Most Developmentally Truncated Fishes Show Extensive *Hox* Gene Loss and Miniaturized Genomes

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Accepted: March 13, 2018

**Data deposition:** This project has been deposited at EMBL Nucleotide Sequence Database (ENA) under the accession PRJEB15524. See also Data Availability section.

## Abstract

The world's smallest fishes belong to the genus *Paedocypris*. These miniature fishes are endemic to an extreme habitat: the peat swamp forests in Southeast Asia, characterized by highly acidic blackwater. This threatened habitat is home to a large array of fishes, including a number of miniaturized but also developmentally truncated species. Especially the genus *Paedocypris* is characterized by profound, organism-wide developmental truncation, resulting in sexually mature individuals of <8 mm in length with a larval phenotype. Here, we report on evolutionary simplification in the genomes of two species of the dwarf minnow genus *Paedocypris* using whole-genome sequencing. The two species feature unprecedented *Hox* gene loss and genome reduction in association with their massive developmental truncation. We also show how other genes involved in the development of musculature, nervous system, and skeleton have been lost in *Paedocypris*, mirroring its highly progenetic phenotype. Further, our analyses suggest two mechanisms responsible for the genome streamlining in *Paedocypris* in relation to other Cypriniformes: severe intron shortening and reduced repeat content. As the first report on the genomic sequence of a vertebrate species with organism-wide developmental truncation, the results of our work enhance our understanding of genome evolution and how genotypes are translated to phenotypes. In addition, as a naturally simplified system closely related to zebrafish, *Paedocypris* provides novel insights into vertebrate development.

**Key words:** progenesis, Cyprinidae, transposable elements, evolution.

## Introduction

The developmental mechanisms that determine how genotypes translate into phenotypes and how selection acts on phenotypes to shape morphological differences are central to our understanding of the diversity of living organisms (Carroll et al. 2007; Moczek et al. 2011). Model organisms have been instrumental in our quest to decipher how genetic differences are associated with morphological and

physiological disparity (Braasch et al. 2015), and improved technologies for genetic modifications will aid in further elucidating genotype–phenotype interrelations (Shalem et al. 2015). One interesting example is the recent work by Nakamura et al. (2016), which utilized CRISPR-Cas9 to knock out three *Hox13* copies (*Aa*, *Ab*, and *D*) in zebrafish (*Danio rerio*) to investigate the role of these genes in the transition from fins to limbs. Although experiments like these are now

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feasible, the pleiotropic effects of genes involved in fundamental developmental processes, such as those of the large *Hox* gene family, present challenges and limitations to the phenotypic variation that can easily be induced in model organisms through genetic engineering. Examining naturally occurring extreme phenotypes in close relatives of model organisms thus provides a novel source of phenotypic and genotypic variation that is becoming increasingly important in improving our understanding of the molecular basis of evolutionary changes (Streelman et al. 2007).

Discovered ~200 years ago (Hamilton 1822), the zebrafish has been used as a model organism since the 1960s and is currently one of the most important models for *in vivo* studies of vertebrate development, genome evolution, toxicology, physiology, behavior, and disease (Howe et al. 2013; Kettleborough et al. 2013). Comparative efforts have so far focused on closely related *Danio* species (McMenamin et al. 2014; McCluskey and Postlethwait 2015), but recent studies have revealed that the diversity of zebrafish mutants is surpassed by the range of phenotypic variation among several of its related species in the wild (Patterson et al. 2014; Braasch et al. 2015; Parichy 2015). Some of these other members of Cyprinidae (e.g., *Paedocypris*, *Sundadanio*, and *Danionella*) are characterized by developmental truncation (Rüber et al. 2007; Britz and Conway 2009, 2016; Britz et al. 2014) and morphological novelties (Conway and Britz 2007; Britz and Conway 2016; Conway et al. 2017) and thus offer additional, underappreciated potential for comparative studies and promise fundamental advances in our understanding of the molecular underpinnings of evolutionary change leading to novelty and adaptation (Braasch et al. 2015).

Otophysan fishes, which includes Cyprinidae, represents one of the oldest teleostean lineages, and its phylogeny remains controversial (Rüber et al. 2007; Fang et al. 2009; Tang et al. 2010; Stout et al. 2016; Arcila et al. 2017; Chakrabarty et al. 2017; Hirt et al. 2017). One taxon that is particularly difficult to place confidently is the recently discovered, miniaturized, dwarf minnow genus *Paedocypris* (Rüber et al. 2007; Mayden and Chen 2010; Britz et al. 2014; Stout et al. 2016; Arcila et al. 2017) found in the highly acidic blackwaters of endangered peat swamp forests in Southeast Asia. This genus of tiny vertebrates includes the world's smallest fish, maturing at ~8 mm (Kottelat et al. 2006). *Paedocypris* exhibits an extreme case of organism-wide progenesis or developmental truncation, resulting in an anatomical adult condition closely resembling that of a 7.5 mm zebrafish larva, with over 40 bones never being developed (Britz et al. 2014). To investigate the genomic signatures of developmental truncation in a vertebrate species for the first time, we sequenced and compared the genomes of two representatives of the genus *Paedocypris*; *P. carbunculus* and *P. micromegethes*. The genome signatures of these two species allow the distinction of genus-specific from species-specific genomic changes and thus enable

identification of features associated with the extreme phenotype of *Paedocypris*. By comparing their genomes with those of other teleosts, including the closely related zebrafish, we identify *Paedocypris*-specific genomic signatures of developmental truncation in the form of loss of various key developmental genes, including at least seven of the otherwise highly conserved *Hox* genes, mirroring their progenetic phenotype. We further demonstrate how the *Paedocypris* genome size has been reduced through evolution of shorter genes due to significantly shorter introns compared with zebrafish, whereas exon lengths and gene numbers have remained relatively unchanged. We find that the *Paedocypris* genomes are comparable in size and gene structure (i.e., short introns and compact genomes) to those of the two pufferfish species *Takifugu rubripes* and *Dichomyctere nigroviridis*, which feature the smallest vertebrate genomes known (Jaillon et al. 2004). Additionally, we show that the accumulation of transposable elements (TEs), especially DNA transposons, is very low, following the diversification of the genus *Paedocypris*, and propose a potential mechanism for enhanced transposon-silencing activity through duplication of the PIWI-like (*PIWI1*) gene in this lineage. Highly progenetic fish species like *Paedocypris* will be important resources for future studies on vertebrate development, presenting a novel opportunity to investigate phenotype–genotype relationships in early vertebrate development and the genetic mechanisms of developmental truncation.

## Materials and Methods

### Specimens Used

*Paedocypris carbunculus* was collected at the type locality in Pangkalanbun, Kalimantan Tengah, on the island of Borneo and *P. micromegethes* was caught in Sibul, Sarawak, Malaysia, on the island of Borneo. Both species were caught using dip nets. Immediately upon capture, specimens were killed by an overdose of anesthesia (MS222) following guidelines by the American Fisheries Society (2014). Individuals were preserved in 96% ethanol for subsequent DNA analyses.

### DNA Isolation

A single whole specimen of each *Paedocypris* species, stored on 96% ethanol, was used for isolation of high molecular genomic DNA with the EZNA Tissue DNA Kit (Omega Bio-Tek, Norcross, GA), following manufacturers' instructions. Sample identifiers were LR12004 (*Paedocypris carbunculus*) and LR7898 (*Paedocypris micromegethes*), supplied by Lukas Rüber.

### Library Preparation and Sequencing

Genomic DNA samples were fragmented to lengths of ~550 bp by sonication on a Covaris E220 (Life

Technologies, Carlsbad, CA) with the following settings: 200 cycles for 45 seconds with Peak Incident Power of 175 W and frequency sweeping mode. All sequencing libraries were constructed following Illumina's TrueSeq PCR-free library preparation protocol for 550-bp fragments.

The sequencing was conducted on the Illumina HiSeq 2500 platform, using the Illumina 500 cycles kit (Rapid mode) with on-board clustering. The Kapa Library quantification kit for Illumina (Kapa Biosciences, Wilmington, MA) was used to find the correct molarity (nM) before sequencing.

### Assembly Quality Assessment

Prior to assembling the sequenced reads, PreQC (Simpson 2014) was used to estimate the coverage, per-base error rates, heterozygosity levels, and genome size based on the *k*-mer distribution. The quality of the different genome assemblies was assessed by comparing the proportion of conserved genes detected, as a measure of gene-space completeness. We used the program BUSCO v2.0 (Benchmarking Universal Single-Copy Orthologs) (Simão et al. 2015), which searches for 4,584 highly conserved single-copy actinopterygian genes (additional file 1: [supplementary note S4, Supplementary Material](#) online).

We also assessed the assembly quality of the three different assembly versions with the software FRC<sup>bam</sup> (Vezi et al. 2012), which identifies "features" (incorrectly mapped reads, incorrect insert size, and coverage issues) in each of the assemblies, and ranks the different assembly versions according to the number of detected features. Additional information on the execution of these programs is available in the additional file 1: [supplementary note S5, Supplementary Material](#) online, and the resulting graphs are presented in additional files 3 and 4: [supplementary figures S1 and S2, Supplementary Material](#) online.

### Hox Gene Search

In addition to the two *Paedocypris* genomes, *Hox* gene content was also investigated in the genomes of *Pimephales promelas* (GCA\_000700825.1\_FHM\_SOAPdenovo\_genomic.fna) and *Leuciscus waleckii* (GCA\_900092035.1\_Amur\_ide\_genome\_genomic.fna) based on BLAST (Altschul et al. 1990) searches, using 70 zebrafish *Hox* transcripts from Ensembl as queries (including some truncated variants and isoforms), representing the 49 unique *Hox* genes (additional file 2: [supplementary table S2, Supplementary Material](#) online). The similarity stringency threshold used in these searches was  $1e^{-20}$ . We also conducted additional searches using Exonerate (v. 2.2.0) (Slater and Birney 2005) for genes whose presence could not be established based on the BLAST search. For those genes that could not be detected using either method, additional searches were conducted using the orthologous protein sequence from the cave tetra (*Astyanax mexicanus*). Additionally, tblastn (Altschul et al. 1990) was

used to search for additional homeodomain using the homeodomain consensus sequence from <https://pfam.xfam.org/>, last accessed December 13, 2017 (RRRKRTAYTRYQLLELEK EFHFNRYLTRRRRIELAHSLNLTERHIKIWFQNRMMKWKKEN).

Contiguous sequences from both *Paedocypris* genomes were extracted from the scaffolds assembled with Metassembler, spanning all hit regions plus 10 kb upstream and downstream of each hit. These scaffold sequences were aligned to the orthologous sequences extracted from the zebrafish genome (GRCz10) using AVID (Bray et al. 2003) and visualized with mVista (Frazer et al. 2004).

### Identification of Lost and Expanded Developmental Genes

In order to obtain a complete list of genes from *D. rerio* that were associated with various developmental pathways that could be compromised in *Paedocypris*, we started out with the orthogroups found not to contain orthologs from either *Paedocypris* species, as identified using OrthoFinder (Emms and Kelly 2015). We further utilized the gene ontology information associated with all genes belonging to these orthogroups, and identified key gene ontology terms: GO: 0009948 (anterior–posterior axis formation), GO: 0009950 (dorsal–ventral axis formation), GO: 0040007 (growth), GO: 0007517 (muscle organ development), GO: 0007399 (nervous system development), GO: 0001501 (skeletal system development), and GO: 0007379 (segment specification). This data set contained 1,581 unique genes from *D. rerio*, and 64 of these genes could not be detected in the *Paedocypris* genome sequences using tblastn with a similarity cutoff of  $1e^{-20}$ , and their presence was further examined by running Exonerate (Slater and Birney 2005) (v2.2.0). Based on the Exonerate results, reciprocal BLAST searches, annotation, and identification of flanking genes, we could confidently determine that 15 of these genes were indeed not present in either of the *Paedocypris* genomes. Using expression data from Ensembl (Cunningham et al. 2015), we reconstructed the hypothetically affected body segments in *Paedocypris*, as illustrated in figure 2b.

We further investigated whether we could confidently identify developmental genes that are now duplicated in *Paedocypris* but not in *D. rerio*. From the full list of orthogroups, we identified 138 groups that were represented by a single copy in the two tetraodontid pufferfishes and in *D. rerio*, but by two apparent copies in both *Paedocypris* species. The alignments of these genes were then screened for missing data, and based on manual inspection of these alignments, only alignments with < 35% missing data were included in further analysis. Out of the 35 genes that met this criterion, 19 could be confirmed through annotation, and had a gene tree consistent with the two hypotheses outlined in figure 2c and d.



## Gene Space Evolution

In order to assess the changes of gene-, exon-, and intron sizes in *Paedocypris*, we first identified the proteomic overlap of *Paedocypris*, *D. rerio*, *Di. nigroviridis*, and *T. rubripes* by running the software OrthoFinder (Emms and Kelly 2015) on the complete protein sets of these five species. We used the full protein sets from Ensembl (Cunningham et al. 2015) (v. 80): *D. rerio* (GRCz10), *Di. nigroviridis* (TETRAODON8), and *T. rubripes* (FUGU4). However, as some of the *D. rerio* genes have more than one protein or transcript in the Ensembl database, the output from BioMart (31,953 genes and 57,349 proteins) was filtered so that only the longest protein sequence from each gene was used in the analysis, and genes without protein sequences were removed. This resulted in a set of 25,460 genes with a single protein prediction. For the two *Paedocypris* species, the “standard” gene sets resulting from the annotation were used as input. These sets were filtered to include only genes with AED (Annotation Edit Distance) scores < 1 or those with a Pfam domain. By using only genes belonging to the 10,368 orthogroups found to contain orthologs from all these species (fig. 2a), we obtained a comprehensive but conservative data set as the basis for these analyses. Information about each of the corresponding genes in *D. rerio*, *Di. nigroviridis*, and *T. rubripes* was obtained from BioMart, and included the Ensembl gene and protein ID, and the chromosome name in addition to the start and stop position for each gene, transcript, and exon. Intron sizes were then calculated on the basis of exon positions, using a custom script (“gene\_stats\_from\_BioMart.rb”). In some cases, the sum of exons and introns did not equal the total length of a gene, which appears to be caused by inconsistency in the registration of UTR regions in the Ensembl database for individual genes. In these cases, to be conservative with regard to intron length estimates, the gene length was shortened to correspond to the sum of the exons and the corresponding introns between these.

Intron and exon lengths for the two *Paedocypris* species were calculated in a similar manner, but on the basis of the “standard” filtered annotation file in “gff” format produced as part of the annotation pipeline. In addition, for these species, the intron lengths were determined on the basis of identified exons, with another custom script (“gene\_stats\_from\_gff.rb”). Gene-, exon-, and intron length histograms were plotted with the R package ggplot2.

## Repeat Content Analysis

The repeat contents of the two *Paedocypris* genomes and the model organism genomes were assessed using RepeatMasker (v. 4.0.6) (Smit and Hubley 2017) with the *Danio*-specific repeat library included in the program, and with the “-s” setting to increase sensitivity. The following model organism genome assemblies were used in this comparison: *Danio rerio*

(*Danio\_rerio*.GRCz10.dna.toplevel.fa), *Pimephales promelas* (GCA\_000700825.1\_FHM\_SOAPdenovo\_genomic.fna), *Leuciscus waleckii* (GCA\_900092035.1\_Amur\_ide\_genome\_genomic.fna), *Sinocyclocheilus grahami* (GCA\_001515645.1\_SAMN03320097.WGS\_v1.1\_genomic.fna), *Cyprinus carpio* (GCA\_001270105.1\_ASM127010v1\_genomic.fna), and *Astyanax mexicanus* (*Astyanax\_mexicanus*.AstMex102.dna.toplevel.fa). Repeat landscape graphs (fig. 4a) were plotted with the R package ggplot2 based on the “.aligned” output file from RepeatMasker. The proportion of repeats originating from specific time intervals was calculated following Kapusta et al. (2017), using the parseRM.pl script (Kapusta 2017), the *Paedocypris*-specific substitution rate, and the “-age” setting set to “12.31, 79.98,” according to divergence times estimated with BEAST 2 (v. 2.4.5) (Bouckaert et al. 2014). All four repeat classes were analyzed individually using the “-contain” setting.

Species-specific repeat libraries were created for all eight species used in the comparative analysis using RepeatModeler (Smit and Hubley 2017) (v. 1.0.8). As RepeatModeler runs RepeatScout on only a small fragment of the assemblies (40 kB), we aimed to direct the repeat searches to the best part of each genome in order to get as complete repeat structures as possible. Thus, RepeatModeler was executed on a reduced genome assembly consisting of the sequences included in the N50-scaffolds. The species-specific repeat libraries were merged with the *Danio*-specific repeat library prior to running RepetMasker (Smit et al. 2017). Results are listed in additional file 2: **supplementary table S7, Supplementary Material** online and illustrated in additional file 5: **supplementary figure S3, Supplementary Material** online, in comparison to the results obtained when using only the *Danio*-specific library.

## Calculation of Substitution Rate for *Paedocypris*

The *Paedocypris*-specific substitution rate was calculated on the basis of a whole-genome alignment of the two *Paedocypris* species. These alignments were created by mapping the sequencing reads of *P. micromegethes* to the *P. carbunculus* assembly using Burrows–Wheeler Aligner (v. 0.7.12) (Li and Durbin 2009) and SAMTOOLS (v. 1.3.1) (Li et al. 2009; Li 2011). The number of nucleotide differences in each of the 18,953 alignments (one per scaffold) was identified using a custom script (“find\_variable\_sites.rb,” available for download at [https://github.com/uio-cees/Paedocypris\\_gene\\_stats](https://github.com/uio-cees/Paedocypris_gene_stats)). The substitution rate per million years (0.001288) was then calculated as the sum of total differences (13,415,043) divided by the total number of aligned sites (422,829,036) and two times the estimated crown age of *Paedocypris* (12.31), as inferred by the BEAST v.2.4.5 (Bouckaert et al. 2014) analysis.

### Phylogenetic Inference of Selected Otophysan Species

To allow the estimation of divergence times between the two *Paedocypris* species and six other otophysan taxa for which genomic resources were available (*D. rerio*, *A. mexicanus*, *C. carpio*, *Pi. promelas*, *S. grahami*, and *L. waleckii*), we followed the pipeline for phylogenetic marker selection presented in Malmstrøm et al. (2016, 2017) with few modifications. These modifications included the following changes: Marker selection began with a set of 3,238 cave fish (*A. mexicanus*) exons, for which at least five orthologs were known among the seven species *D. rerio*, *Gadus morhua*, *Gasterosteus aculeatus*, *Oreochromis niloticus*, *Oryzias latipes*, *Poecilia formosa*, and *T. rubripes*, according to version 87 of the Ensembl database. This marker set was then used to identify potential orthologs from the genomes of the eight selected otophysan taxa based on tblastn searches followed by a strict filtering procedure. Compared with Malmstrøm et al. (2016), we applied a lower dN/dS threshold of 0.25 to exclude markers potentially affected by positive selection, and we removed all markers for which no homologs could be detected in one or more of the eight otophysan genomes. We also applied stricter thresholds on clock-like evolution of candidate markers, so that all genes with an estimated coefficient of rate variation >0.8 as well as those with a mean mutation rate >0.0004 per site per million years were excluded. We identified 138 genes with a total alignment length of 135,286 bp which were subsequently used for analysis with BEAST 2. Since the topology of otophysan taxa has previously been resolved with a more comprehensive phylogenetic data set (Stout et al. 2016), we here focused on the inference of divergence times only, by using the topology inferred by Stout et al. (2016) as a starting tree and excluding all of BEAST 2's operators on the tree topology. Divergence times were estimated by calibrating the most recent common ancestor of Cypriniformes and Characiformes with a lognormal distribution centered at 121 Ma (standard deviation on log scale: 0.1) according to the results of Malmstrøm et al. (2016). We performed two replicate BEAST 2 analyses with 800 million MCMC iterations, of which the first 100 million were discarded as burnin. Convergence was assessed based on similarity of parameter traces between run replicates and effective sample sizes (ESS) >200. A maximum clade credibility (MCC) summary tree with node heights according to mean age estimates was produced with TreeAnnotator (v. 2.1.2) (Bouckaert et al. 2014).

### Transitions/Transversions Ratio

To further validate the integrity of the *Paedocypris* genome assemblies, we investigated the ratio of transitions (A/G and C/T) and transversion mutations (A/C, A/T, C/G, and G/T) between the assemblies of the two species, based on the whole-genome alignment used for calculating the substitution rate. Assuming that the observed sequence

differences between the two species are primarily based on real biological mutation, we expected a bias toward transitions (Wakeley 1994). We found that across all sites in the whole-genome alignment of these two species, the ratio of transitions to transversions is 1.10. In order to compare the *Paedocypris* assemblies to the other genome assemblies, we also compared the ratio of transitions to transversions for three species pairs based on the data set used for phylogenetic analyses. This data set contained two alignments (one for first codon positions and one for second codon positions) that both had a length of 67,643 bp. The three species pairs *P. carbunculus* and *P. micromegethes*, *Pi. promelas* and *L. waleckii*, and *C. carpio* and *S. grahami* were analyzed independently. For this latter species pair, which diverged earlier (23.1 Ma) than the other two pairs we found the transitions/transversions ratio to be on an average 1.85 for both codon positions. The transitions/transversions ratio calculated from the species pair *Pi. promelas* and *L. waleckii*, which diverged similarly long ago as the *Paedocypris* species (15.1 Ma and 12.3 Ma, respectively) was on an average 1.08, whereas we again obtained a ratio of 1.10 for the *Paedocypris* species. As the expectation based on purely random mutations (or errors) would be 0.5, this clearly indicates that the observed interspecies differences are not due to technical or computational errors, that is, that the assemblies are accurate at the nucleotide level.

### Ancestral State Reconstruction

To determine the directionality of changes in repeat content and genome sizes, the time-calibrated phylogeny (fig. 4a) was used to reconstruct ancestral states at each internal node. This was done with the ML algorithm "phylopar" implemented in the R package Rphylopar (Goolsby et al. 2017), assuming a Brownian Motion model of trait evolution. Ancestral state reconstruction was performed separately for numbers of DNA transposons, LINEs, SINEs, LTRs, other repetitive elements, and for genome size (additional file 2: [supplementary table S10, Supplementary Material](#) online).

### Identification of PIWI-Like Genes

We investigated the presence of PIWI-like genes in the genomes of the two *Paedocypris* species and the other cyprinids using Exonerate with the longest transcripts available for the two PIWI-like homologs from zebrafish; *PIWI1* (ENSDARG00000041699) and *PIWI2* (ENSDARG00000062601). Regions containing sequences spanning more than three introns were aligned to the zebrafish exons using mafft (Katoh and Standley 2013) as implemented in AliView (Larsson 2014) (v. 1.17.1). Intron sequences were aligned manually based on the established exon structure, using the full-length scaffold sequences. Local gene synteny to zebrafish chromosome 8, surrounding the putative *PIWI1* copies,

was confirmed through reciprocal BLAST searches using both the MAKER2 annotated proteins and proteins predicted by GeneScan (online version) (Burge and Karlin 1997) as queries.

### Identification of Chromosomal Rearrangements (Fusions)

As *P. carbunculus* has been shown to have a haploid chromosome count of 15 (Liu et al. 2012), potential chromosomal fusions were investigated on the basis of disrupted synteny of zebrafish chromosomes in relation to *Paedocypris*.

We identified putative homologous regions between the zebrafish genome assembly and each of the *Paedocypris* species' genome assemblies by using MCScanX (Wang et al. 2012). In short, the predicted proteins for each *Paedocypris* species were merged with predicted proteins from zebrafish into a single file, and BLASTP (Altschul et al. 1990) was executed with this file as both query and target, thus identifying putative homologs both within each species and between. The genomics positions of the proteins were extracted from the annotation files, and the BLASTP results and the genomic positions were provided to MCScanX for identifying the putative homologous regions.

## Results

### Sequencing, Assembly, and Annotation

Based on expected genome sizes of 315 Mb (Liu et al. 2012), both *Paedocypris* genomes were sequenced to ~90× coverage on the Illumina HiSeq 2500 platform, producing 151–155 M paired reads of 250 bp each from genomic sequence fragments of ~550 bp. Both *Paedocypris* genomes were initially assembled using two different assembly programs, the "Overlap-Layout-Consensus" based Celera Assembler (Miller et al. 2008) and the "de Bruijn graph" based DISCOVAR de novo (Weisenfeld et al. 2014). These two initial assemblies were then merged using Metassembler software (Wences and Schatz 2015), resulting in a reconsolidated single assembly with superior quality for each of the two *Paedocypris* species. The quality of the genome assemblies was assessed on the basis of contiguity (N50 statistics), gene space completeness (BUSCO; Simão et al. 2015), and assembly errors using FRC<sup>bam</sup> (Vezzi et al. 2012) and investigation of the ratio between transitions and transversions. Results are listed in table 1 (see additional file 1: [supplementary notes S1–S5](#), additional file 2: [supplementary table S1](#), and additional files 3 and 4: [supplementary figs. S1 and S2](#), [Supplementary Material](#) online).

Structural and functional annotation of both reconsolidated *Paedocypris* genomes was performed with two iterative rounds of the MAKER2 (v 2.31.8) pipeline (Cantarel et al. 2007; Holt and Yandell 2011; Campbell et al. 2014). Numbers of genes annotated are listed in table 1. See additional file 1: [supplementary note S6](#), [Supplementary Material](#) online, for in-depth descriptions of additional software and commands used.

**Table 1**

Assembly Statistics, Gene-Space Completeness-, and Annotation Metrics

	<i>Paedocypris carbunculus</i>	<i>P. micromegethes</i>
Estimated genome size by k-mer distribution	437,300,000	412,600,000
Total assembly length (bp)	430,790,821	414,707,736
Estimated assembly coverage (CA/DDN)	67×/73×	76×/91×
Number of scaffolds	18,953	15,158
N50 scaffold length	59,252	61,901
Longest scaffold (bp)	653,275	678,207
N50 contig length	11,815	14,788
BUSCOs found <sup>a</sup>	4,113	4,073
BUSCOs complete <sup>a</sup>	3,719	3,564
Total gene count <sup>b</sup>	25,567	25,453

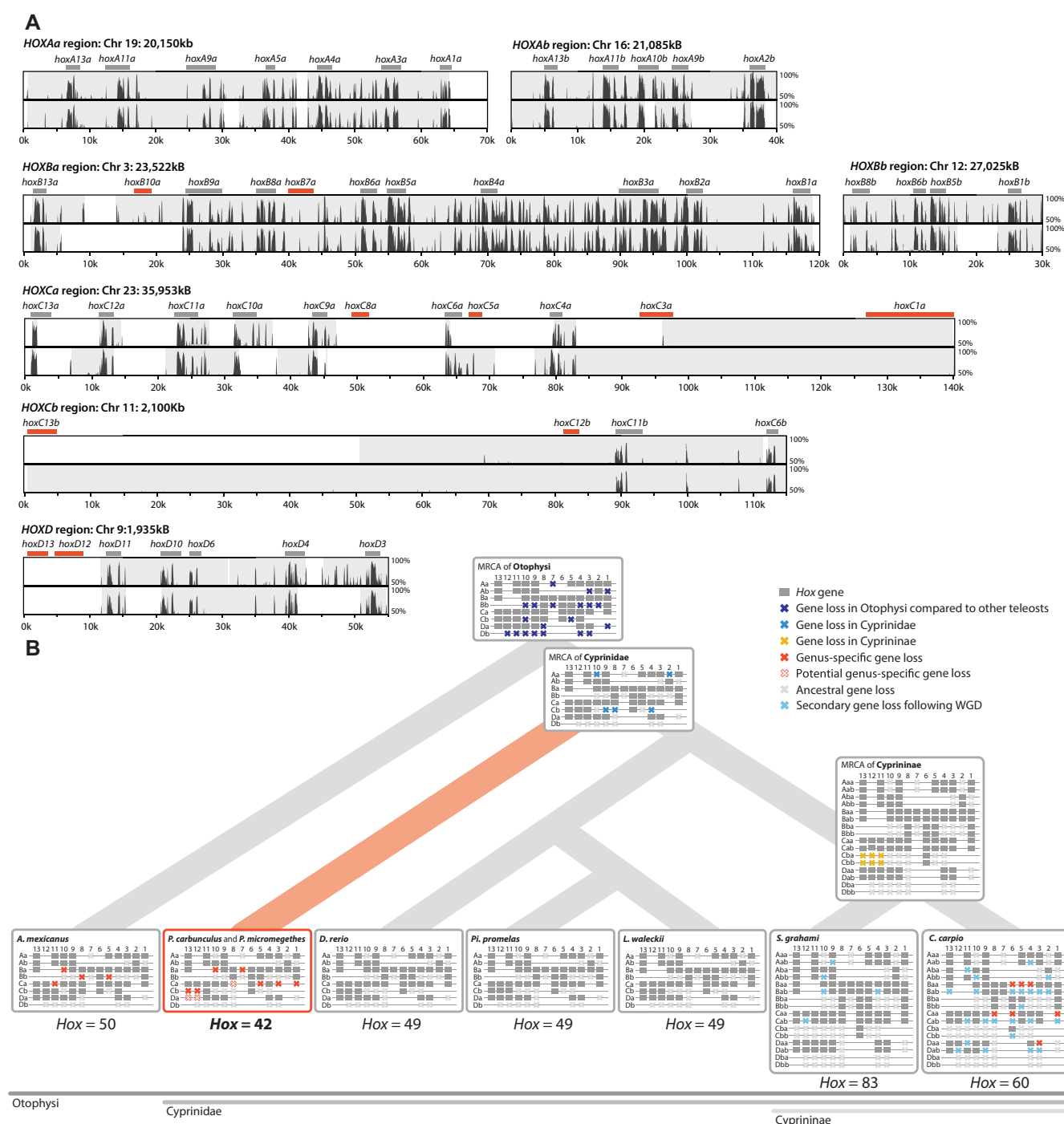
<sup>a</sup>Out of 4,584 highly conserved Actinopterygii genes.

<sup>b</sup>Annotation edit distance (AED) < 1.0 or containing a protein family (Pfam) domain.

### Loss of *Hox*- and Other Developmental Genes

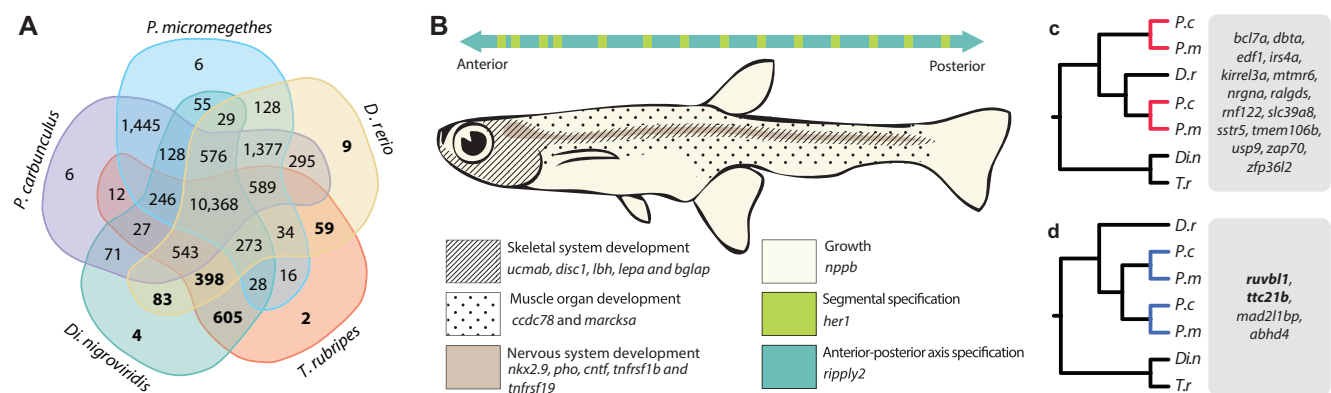
*Hox* genes encode transcription factors essential in body patterning along the anterior–posterior body axis during early development of all animals (Mallo and Alonso 2013). Although a large number of genes are involved in developmental processes, *Hox* genes are especially interesting as they remain organized in conserved clusters and are ordered along the chromosomes according to where and when they are activated (Gaunt 2015).

As all known *Paedocypris* species have a progenetic phenotype, we investigated whether this condition is reflected in their *Hox* gene repertoire. We compared 70 *Hox* gene transcripts, encoded by the 49 *Hox* genes in zebrafish, to detect syntenic *Hox* cluster regions in the two *Paedocypris* genomes (see Materials and Methods and additional file 2: [supplementary table S2](#), [Supplementary Material](#) online). For *Hox* clusters Aa, Ab, and Bb, we recovered all genes on one to three contiguous sequences in synteny with *Danio rerio* (fig. 1a). Two scaffolds in both *Paedocypris* species cover the *HoxBa* cluster; however, only fragments of *hoxB10a* and *hoxB7a* could be identified in this region. Four *Hox* genes (*hoxC8a*, *hoxC5a*, *hoxC3a*, and *hoxC1a*) appear to be missing in *Hox* cluster Ca, which was more fragmented than the *HoxA* and *HoxB* clusters in both species. And finally, *Hox* cluster Cb, which was recovered in either one or two contiguous sequences, is missing two *Hox* genes (*hoxC13b* and *hoxC12b*), whereas *Hox* cluster D, which was recovered as three contiguous sequences in both species, is also missing two *Hox* genes (*hoxD13* and *hoxD12*). In total, ten of the zebrafish *Hox* genes appear to be absent in *Paedocypris* (fig. 1a). Of these, three (*hoxC8a*, *hoxD13*, *hoxD12*) were not covered by any scaffolds spanning the region in which the genes were expected to be found. However, three of the *Hox* genes were covered by contiguous sequences in synteny with *Danio rerio* in both species (*hoxB7a*, *hoxC1a*,



**Fig. 1.**—Sequence similarity plots and reconstructed evolutionary history of teleost *Hox* genes. (a) Pairwise sequence similarity between zebrafish (*Danio rerio*) and *Paedocypris carbunculus* (*P. c.*, top) and *P. micromegethes* (*P. m.*, bottom) for syntenic *Hox* regions. Sequence similarity from 50% to 100% is shown with green bars representing the location of complete copies of recovered *Hox* genes, whereas red bars represent the expected location of the unrecovered genes. Gray shading represents contiguous sequences in either of the *Paedocypris* species with sufficient similarity to be mapped to the zebrafish chromosomes. (b) Cladogram of selected otophysan species including hypothetical ancestors and ancestral losses (topology according to Stout et al. 2016). Genus-specific *Hox* gene losses are shown in red (solid and open crosses). Only intact gene copies are shown. *Hox* cluster information from other species, and the ancestral states, are based on Henkel et al. (2012), Pascual-Anaya et al. (2013), Yang et al. (2016), and Ensembl (Cunningham et al. 2015).





**Fig. 2.**—Gene loss and duplication of developmental process genes. (a) Five-species comparison of shared orthogroups for the identification of genes lost in *Paedocypris*. Bold numbers represent orthogroups without any *Paedocypris* orthologs. Orthogroups were identified using OrthoFinder (Emms and Kelly 2015) on the basis of full protein data sets for all included species. (b) Lost developmental pathway genes and schematic representation of phenotypically affected body segments in *Paedocypris*. (c) Gene duplicates retained in *Paedocypris*. (d) Genus-specific gene duplications. Genes in bold are associated with a truncated phenotype in *Danio rerio*.

and *hoxC12b*), and an additional four genes in one species (*hoxB10a*, *hoxC5a*, *hoxC3a*, and *hoxC13b*). This strengthens the hypothesis that at least these seven *Hox* genes were indeed lost in *Paedocypris*. The absence of the three *Hox* genes, *hoxC8a*, *hoxD13*, and *hoxD12*, for which we do not have contiguous sequences in synteny with *Danio rerio* may possibly be due to incomplete sequencing coverage, assembly errors, or highly divergent sequence evolution of these genes in the *Paedocypris* lineage. Thus, future sequencing efforts, supplementing our current estimated genome coverage of 67–76× (Celera Assembler)/73–91× (DISCOVAR de novo) (table 1) with single molecule sequencing technologies (i.e., Pacific Bioscience or Oxford Nanopore Technologies) and subsequent reannotation, are needed to confirm whether they are indeed lost or have remained undetected. A certain level of sequence similarity without a clear gene structure could be identified for *hoxB10a*, *hoxB7a*, and *hoxC3a* (fig. 1a), which may suggest the pseudogenization of these three *Hox* genes. Similar searches for the remaining missing *Hox* genes in other parts of the genome assembly did not identify any sequence or homeodomain similarity. The location of all identified *Hox* genes, and the best hits for all genes investigated are listed in additional file 2: [supplementary table S3, Supplementary Material](#) online.

Although extensive *Hox* gene loss has been reported for other cyprinids, these are secondary losses, following genome duplication events (Pascual-Anaya et al. 2013). Figure 1b shows the positions of both the missing and the present *Hox* genes in *Paedocypris* compared with currently available otophysan genomes, illustrating the most parsimonious reconstruction of *Hox*-cluster evolution in this fish lineage. The number of *Hox* genes in *Paedocypris* of 42 or fewer is, to the best of our knowledge, the lowest *Hox* gene number reported for any teleost fish.

Given its progenetic phenotype and the fact that ~15–20% of the zebrafish *Hox* gene repertoire is apparently absent

in *Paedocypris*, we also investigated the presence of other key genes involved in various developmental pathways. We first determined the overlap in gene space between the two *Paedocypris* species, the zebrafish, and the two tetraodontid species *Di. nigroviridis* and *T. rubripes*, as these pufferfishes have similarly small genomes as the *Paedocypris* species. Figure 2a shows the number of shared orthogroups for all species, as identified with OrthoFinder (Emms and Kelly 2015), highlighting the number of orthogroups without orthologs in *Paedocypris* (numbers in bold). We used the set of genes from these 1,160 orthogroups to identify a comprehensive list of 1,581 genes that had gene ontologies associated with different system development pathways and pattern specific processes in *D. rerio* (Materials and Methods and additional file 2: [supplementary table S4, Supplementary Material](#) online). These 1,581 genes were then used as queries to screen the genomic sequences and annotated protein sets of *Paedocypris*. Fifteen of these genes, primarily involved in skeletal- (*ucmab*, *disc1*, *lbh*, *lepa*, and *bglap*), muscle- (*ccdc78* and *marcks*), and nervous system (*nkx2.9*, *pho*, *cntf*, *tnfrsf1b*, and *tnfrsf19*) development, were not found in either of the *Paedocypris* species (fig. 2b). Adjacent flanking genes in zebrafish could, however, be identified in *Paedocypris* for all 15 genes (additional file 2: [supplementary table S5, Supplementary Material](#) online).

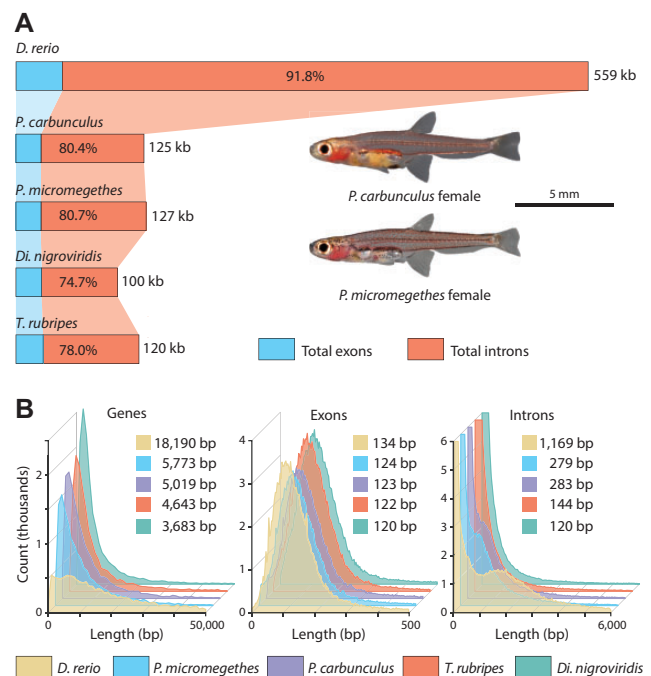
Because phenotypic changes can also result from gene duplication (Kaessmann 2010; Peterson and Müller 2016), we inspected genes with two copies in *Paedocypris* but only a single copy in zebrafish and pufferfishes. Based on the topology of gene trees generated for these genes, we differentiated between genes originating from duplication events predating the divergence of *Paedocypris* and *Danio*, where only one copy is retained in zebrafish (fig. 2c), and *Paedocypris*-specific gene duplication events (fig. 2d). Interestingly, although only four genes could be identified

as recent duplicates in *Paedocypris*, two of these (*ttc21b* and *ruvbl1*) are associated with deformed phenotypes in zebrafish, including shortening of the anterior–posterior axis and decreased head size (Cunningham et al. 2015).

### Genome Miniaturization through Intron Shortening

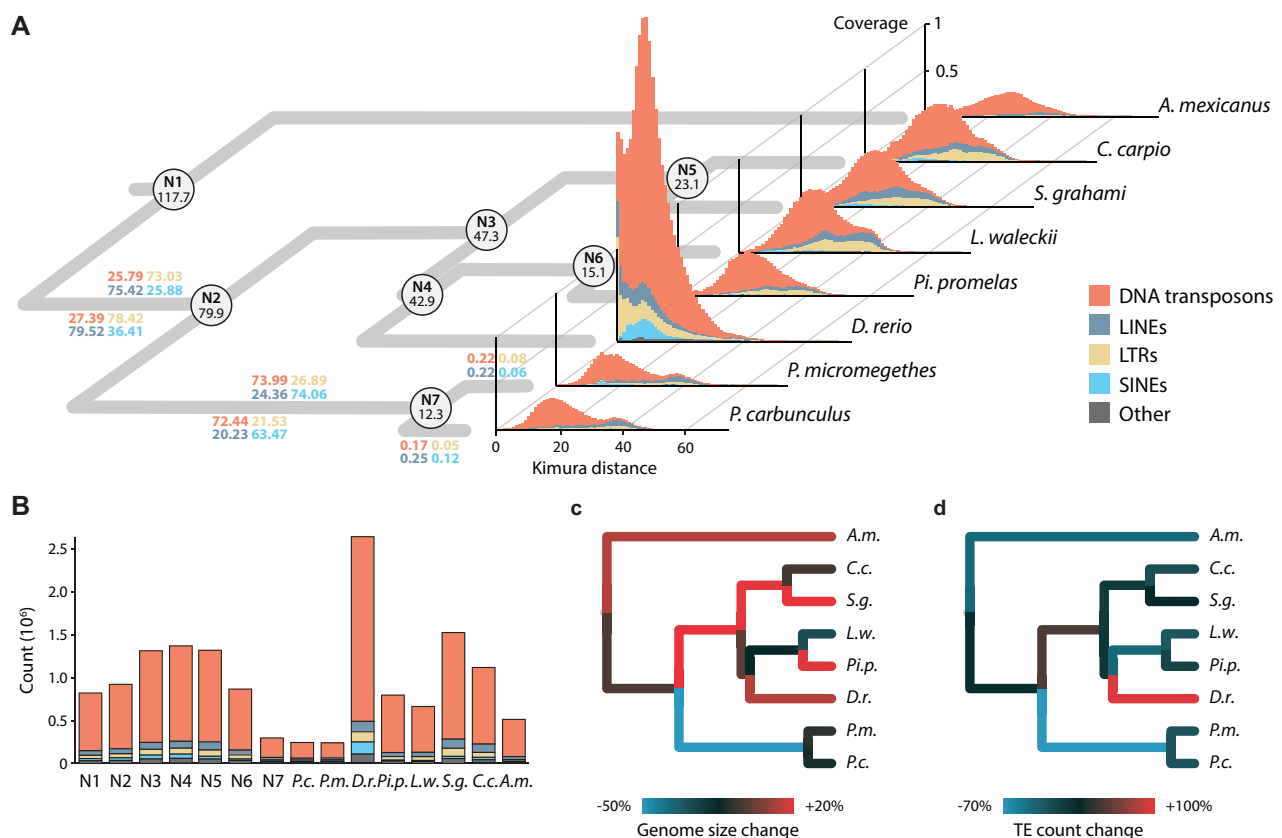
In parallel to their miniaturized body size, the two *Paedocypris* species show a surprising evolutionary trajectory in terms of genome miniaturization. Compared with the genome sizes of zebrafish (~1.5 Gb) (Howe et al. 2013; Cunningham et al. 2015) and other cyprinid fishes (0.81–3.5 Gb) (Gregory 2017), we find that the two *Paedocypris* species have substantially smaller genomes (0.41–0.43 Gb), yet similar numbers of genes (table 1). Comparative analyses of vertebrate genomes have shown that genome size reduction is typically characterized by shorter introns and reduced repeat content (Neafsey and Palumbi 2003; Zhang et al. 2014). However, substantial loss of protein-coding genes (Hughes and Friedman 2008; Zhang et al. 2014), large segmental deletions facilitated by fission of macrochromosomes (Zhang et al. 2014), and a reduced rate of large insertions have also been demonstrated to play a role in reducing or constricting genome size (Neafsey and Palumbi 2003; Vinogradov 2004).

As the *Paedocypris* genome sizes are comparable to those of tetraodontid pufferfishes, we compared the gene repertoires of *Di. nigroviridis* and *T. rubripes* to those of *Paedocypris* and *D. rerio* to determine which genomic features are shared among these lineages. In order to obtain a consistent gene set for comparative analysis of gene-, exon-, and intron lengths, we categorized the full gene set of all five species into orthologous groups using the software OrthoFinder (Emms and Kelly 2015). We identified 10,368 orthogroups containing genes from all five species (fig. 2a), which included 16,142 genes in zebrafish, 15,287 in *P. carbunculus*, 14,181 in *P. micromegethes*, 14,529 in *Di. nigroviridis*, and 14,393 in *T. rubripes*. The total gene length and the proportions of exonic and intronic regions for this gene set are shown in figure 3a. Although the cumulative length of this gene set is significantly smaller in both *Paedocypris* species and the two tetraodontids than in the zebrafish, the proportional change is more subtle, with the gene set constituting on average 29–30% of the total genome length in *Paedocypris* and the tetraodontids and 40% in zebrafish. Further, even though we detected minor differences in this gene set regarding the average number of exons per gene in *Paedocypris* (10.04) compared with *D. rerio* (11.21), the observed 47% reduction in total exon length of *Paedocypris* in relation to zebrafish cannot be attributed to exon loss alone, as the two tetraodontids showed very similar results to *Paedocypris* with even higher average exon count (11.89). However, the majority of the total gene length reduction observed in *Paedocypris* and tetraodontids is due to an 80–84% reduction in overall intron size (fig. 3a). To rule out that the overall reduction in intron size is driven by a highly



**FIG. 3.**—Comparative analyses of gene space in *Paedocypris*, zebrafish, and pufferfishes. (a) Total gene length of 14–16,000 genes belonging to common orthogroups, and the proportional contribution of exonic and intronic regions, in zebrafish, *Paedocypris*, and the tetraodontids *Takifugu rubripes* and *Dichotomyctere nigroviridis*. (b) Frequency plot and median values of gene-, exon-, and intron lengths for the common gene set. In all distributions, the values for both *Paedocypris* species are significantly lower than in zebrafish, but significantly greater than those of both tetraodontids. (Wilcoxon Rank Sum test,  $P < 10^{-15}$ ). Significant results were not detected for intron- and exon lengths between the two *Paedocypris* species; however, in terms of gene lengths, *P. micromegethes* was reported to be significantly longer.

deviant fraction of the *Paedocypris* and tetraodontid gene sets, we also investigated the distribution of gene-, exon-, and intron lengths of the common gene set for these species (fig. 3b). We observe that zebrafish has substantially fewer short genes compared with all other species, with an average gene length 4.3–5.5 times longer than that of *Paedocypris* and tetraodontids. This is not unexpected, as previous studies have reported a lineage-specific expansion of intron size (Moss et al. 2011), resulting in an additional peak of intron lengths between 1,000 and 2,000 bp in zebrafish, shown in figure 3b. Based on our results, it is apparent that the reduced average gene length in *Paedocypris* is driven by a substantial shift toward consistently shorter introns, similar to, but not as extreme as, in the two tetraodontids. This is further illustrated by the calculated median intron sizes depicted in figure 3b. Although *Paedocypris* showed a comparable mean and median intron size compared with medaka and stickleback, this is roughly 1.5–2 times smaller than in nonzebrafish cyprinids and 3.5–4.2 times smaller than in zebrafish (table S6, Supplementary Material online) indicating an intron size



**FIG. 4.**—Repeat landscape graphs of *Danio*-specific TEs in *Paedocypris* compared with six other otophysan genome assemblies. (a) Phylogeny with divergence times for the internal nodes for the eight species analyzed. Colored numbers on the branch leading to *Paedocypris* show the percentages of each of the corresponding repeat classes that originate from the time interval corresponding to this branch (117.7–79.9, 79.9–12.3, and more recent than 12.3 Ma). Repeat landscapes represent transposable elements of the four main classes as well as the unclassified ones. The x-axis indicates the Kimura (1980) distance as a proxy for time, whereas the y-axis gives the relative coverage of each repeat class based on the genome size. (b) Total number of *Danio*-specific repetitive elements in each of the assemblies and the reconstructed values for the internal nodes; colored by class. Proportional change in genome size (c) and total number of TEs (d), for each of the branches in the phylogeny, based on ancestral state reconstructions assuming a Brownian Motion model of trait evolution (additional file 2: [supplementary table S10](#), [Supplementary Material](#) online).

reduction in the most recent common ancestor of the two *Paedocypris* species.

### Repeat Content Reduction and Genome Evolution

The genome size of an organism evolves through the relative rate of insertions and deletions and through the effect of natural selection that either favors or eliminates these changes. Genome shrinkage has thus been postulated to evolve through a bias in the rate of insertions relative to deletions (Petrov 2002), but the impact of this mechanism, and its importance in genome size evolution is still debated (Gregory 2004). Importantly, even though deletions indeed appear to be more frequent than insertions, the latter tend to include significantly more base pairs, resulting in the gradual increase in genome size in eukaryotes (Petrov 2002). Although several other types of mutational activity can promote genome-size expansion, self-replicating mobile elements (i.e., transposons) have been identified as the most prominent contributor in this

regard (Chénaïs et al. 2012; Sun et al. 2012), and a strong correlation between genome size and the amount of transposable elements (TEs) is observed in both plants (Kumar and Bennetzen 1999) and vertebrates (Chalopin et al. 2015; Canapa et al. 2016; Gao et al. 2016). Compact genomes, characterized by reduced intron length and fewer repetitive elements, were first described in the tetraodontid pufferfishes (Neafsey and Palumbi 2003), and more recently in plants (Ibarra-Laclette et al. 2013) and insects (Kelley et al. 2014). Interestingly, a strong correlation between intronic and intergenic space in animal genomes has recently been shown (Francis and Wörheide 2017) and hence, the shorter introns found in *Paedocypris* might suggest the possibility of shorter intergenic stretches (i.e., fewer and/or shorter repeats). We therefore examined to which degree this also is the case in *Paedocypris*. In order to investigate the same set of highly curated repeats in all species, we used the *Danio*-specific repeat library implemented in RepeatMasker (Smit et al. 2017) to determine the amount of TEs; DNA-transposons, long- and

short interspersed repeats (LINEs and SINEs), and long terminal repeats (LTRs) in the genomes of *Paedocypris*, zebrafish, and four other cyprinid species (*Cyprinus carpio*, *Pimephales promelas*, *Sinocyclocheilus grahami*, and *Leuciscus waleckii*) in addition to the characiform cave tetra (*Astyanax mexicanus*). The repeat landscape graphs, illustrating the relative amount of each TE class and the Kimura distance (Kimura 1980) for each of these are shown in figure 4a along with a time-calibrated phylogeny of these eight species, as inferred using BEAST 2 (Bouckaert et al. 2014) (see Materials and Methods). Figure 4a also shows the percentage of each repeat type of various age categories, calculated following Kapusta et al. (2017). The total number of *Danio*-specific repetitive elements of each class is shown in figure 4b, clearly illustrating that even though the proportional differences in repeat content is similar between *Paedocypris* and the other cyprinids, the total number of elements is much lower. To complement our approach based on the *Danio*-specific repeat library, species-specific repeat libraries were also created for each of the eight species. When these species-specific libraries were used in combination with the *Danio*-specific library, we found an overall higher repeat content in all species compared with the *Danio*-specific library alone (additional file 2: [supplementary tables S7 and S8, Supplementary Material](#) online). However, this higher detection precision comes at the expense of resolution, resulting in high amounts of unclassified repeats, making these libraries less suited for the study of class-specific repeat-content evolution (see additional file 5: [supplementary fig. S3, Supplementary Material](#) online). Additionally, we also investigated the amount of teleost-specific repeats, using the “Teleost” repeat library implemented in RepeatMasker. This resulted in unrealistically low repeat contents for all species, which again was poorly annotated (additional file 2: [supplementary table S9, Supplementary Material](#) online). Therefore, we focus our interpretation on the analyses with the *Danio*-specific repeat library only. This strategy resulted in well-annotated repeats which could be compared between species, and also provide insight into the evolutionary history of these repeats, although it likely underestimates the total amount of repetitive elements in all genomes except *Danio rerio*.

To also investigate the directionality of TE-content evolution, we reconstructed the ancestral states of both TE content and genome sizes for each internal node of the phylogeny, assuming a Brownian motion model of trait evolution (fig. 4b and additional file 2: [supplementary table S10, Supplementary Material](#) online). Our results support a directional reduction in both genome size (fig. 4c) and TE content (fig. 4d) in the *Paedocypris* lineage, whereas other representatives of the otophysan fishes have evolved larger and more repeat rich genomes following the divergences from their last common ancestor.

Consistent with previous reports (Chalopin et al. 2015), we find that the zebrafish genome is dominated by repetitive

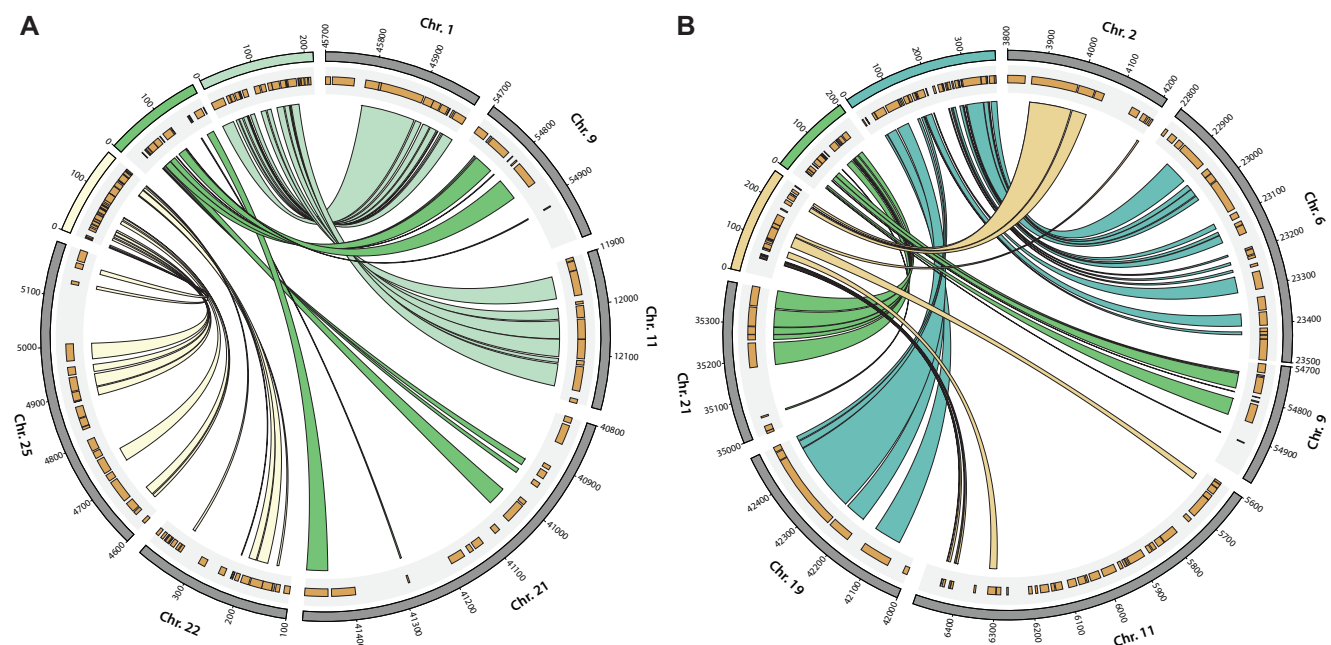
elements, constituting 58.1% of its genome. In contrast, the same *Danio*-specific repeats only constitute 15.3–15.5% of the *Paedocypris* genomes (TEs, satellites, simple repeats, and low complexity regions), which is comparable to that of the other cyprinids investigated (12.3–19.0%) when only considering the *Danio*-specific repeats. However, in terms of TE content, the *Paedocypris* species have considerably fewer and shorter elements that comprise in total 7.2% and 7.3% of their genomes, compared with the four other cyprinids in which we find on average a genomic proportion of 13.8% (not including zebrafish in which these constitute 53.3% of the genome) (additional file 2: [supplementary tables S5 and S6, Supplementary Material](#) online). Based on the timing of the divergence events leading to crown *Paedocypris* and the calculated substitution rate (see Materials and Methods), we investigated the proportions of “ancient” and “lineage specific” TEs. Interestingly, according to the analyses with the *Danio*-specific repeat library only 0.05–0.25% of the *Paedocypris* transposons appear to have been incorporated into their genomes after these two species diverged (fig. 4a).

As *Paedocypris* thus appears to have reversed the general trend of DNA gain (Gregory 2004) toward gradual DNA loss, indicated by their reduced TE content, we investigated whether this could have been achieved through silencing of transposon activity (Vinogradov 2004). We thus explored the genomic content of the PIWI-like genes (*PIWI1* and *PIWI2*), which are known for silencing transposons in zebrafish and other vertebrates (Aravin et al. 2007; Levin and Moran 2011). Interestingly, we identified additional copies of *PIWI1* in both *Paedocypris* genomes, located in a conserved region ~4 Mb upstream of *PIWI1* on zebrafish chromosome 8 (additional file 6: [supplementary fig. S4, Supplementary Material](#) online). These lineage-specific duplications suggest that the gradual genome miniaturization has been, at least in part, attained through increased transposon silencing, leading to a bias of DNA loss over DNA gain. This hypothesis would need to be tested further though, ideally by utilizing genomic sequences from other closely related cyprinids like *Danionella* and *Sundadanio*. Probably resulting from its lineage-specific whole-genome duplication, a single duplicate of the *PIWI1* gene was also found in *C. carpio*, but no extra copies could be detected in the other cyprinids; *P. promelas*, *L. waleckii*, or *S. grahami*, and no additional copies of *PIWI2* were detected in either species.

### Chromosome Fusion

Another interesting feature of the miniaturized genome of *Paedocypris* is the reduced number of chromosomes compared with that of zebrafish. Although most Cypriniformes have  $\geq 24$  chromosomes (Yu et al. 1987) in the haploid metaphase and zebrafish has 25, *P. carbunculus* only features 15 chromosomes (Liu et al. 2012). Since we did not find any indication of large-scale chromosome loss, as would be indicated by substantial gene loss, this discrepancy implies that genome





**FIG. 5.**—Syntenic regions between zebrafish chromosomes and *Paedocypris* scaffolds. Syntenic regions between zebrafish (*Danio rerio*) and *P. caribunculus* (a) or *P. micromegethes* (b). Links between *Paedocypris* scaffolds and *D. rerio* chromosomes indicate orthologous genes. Only the relevant parts of *D. rerio* chromosomes are shown and the locations of all genes in these regions are illustrated in the gray shaded areas. Numbers on scaffolds and chromosomes are given as kb.

miniaturization in *Paedocypris* spp. has been accompanied by chromosomal fusion since they diverged from their last common ancestor with zebrafish. In order to identify regions on different chromosomes in the zebrafish genome that are now tightly linked in the *Paedocypris* genome, we looked for syntenic regions in *Paedocypris* that contain at least six genes from two different chromosomes in zebrafish. Figure 5 shows the three scaffolds identified in each of the *Paedocypris* species fulfilling these criteria. In both species, nine genes from chromosomes 9 and 21 in zebrafish are colocalized on a single scaffold. For chromosome 11 in zebrafish, we find evidence in different regions for a fusion with chromosome 1 in *P. caribunculus* but also chromosome 2 in *P. micromegethes*, suggesting that either all three chromosomes may have fused or that species-specific fusion events may have occurred. Although the remaining two putative fusion events can only be detected in one of the species, there are no contradicting results between the two *Paedocypris* species, indicating that fusion of the chromosome pairs 6 and 19 as well as 22 and 25 is likely to have occurred in the last common ancestor of both species.

## Discussion

Miniature body size has evolved several times in the cyprinid fishes (Rüber et al. 2007). Within cyprinids there are two modes of miniaturization; proportionate dwarfism, where

the adult individuals are miniature, but otherwise identical, copies of their larger ancestors (Conway and Britz 2007), and developmental truncation (progenesis), where the sexually mature fish resemble the larval stage of their larger ancestors (Rüber et al. 2007; Britz and Conway 2009). However, little is known about the molecular underpinnings of these two very different types of miniaturization. Our results, reporting the compacted genome sequences of two miniaturized and highly developmentally truncated cyprinid species serve as an important starting point in elucidating the complex interplay between miniaturization, progenetic heterochrony and genomic architecture. Genome sizes of proportionate dwarfs in Cyprinidae and other bony fishes, remain to be examined, and will provide an interesting comparison to the results presented here. Reduced genome size, as we report on here, has also been reported in other vertebrates (Neafsey and Palumbi 2003; Zhang et al. 2014), yet these reductions include large segmental deletions, chromosome fissions, and massive losses of protein coding genes, which is not the case in the miniaturized genomes of *Paedocypris*. On the other hand pedomorphic taxa without reduced body size, that is, those affected by the process of neoteny, such as deep-sea brittle stars (Stöhr and Martynov 2016) whose genome sizes are unknown or the Mexican axolotl (Keinath et al. 2015) with a large genome size, are puzzling in this regard and provide interesting cases for further comparison. Thus, whether there are any causal links between miniaturized body

size—be it proportionate dwarves or progenetic miniatures—and reduced genome size remains to be determined.

Moreover, while reduced genome sizes in birds and bats can probably be explained by the adaptation to flight through the need for higher metabolic rates (Zhang and Edwards 2012), the ultimate causes of genome-size reduction in fishes seem in general less apparent. We hypothesize that in the specific case of *Paedocypris*, the organism-wide developmental truncation and concomitant miniaturization may have evolved as a response to its extreme habitat in the peat swamp forests (i.e., low oxygen concentrations and pH < 4.0), similar to the genome miniaturization of the Antarctic midge that was also associated with adaptation to its extreme environment (Kelley et al. 2014). The extremely acidic and oxygen deprived habitat of the peat swamp forests likely imposes resource limitations and thus constraints on the metabolic rate, possibly favoring genome size reduction.

With this study, we highlight the unique opportunity provided by the morphologically and ecologically diverse species of Cyprinidae, as a comparative model system, to investigate the potential links between genome size, body size, and heterochrony, that may also shed new light on the ultimate causes of genome size evolution in vertebrates. Miniature cyprinids also feature several evolutionary novelties such as highly modified pelvic and pectoral girdles (Britz and Conway 2009), an anterior shift in genital pore and anus (Rüber et al. 2007), fang-like structures forming from the jaw bones (Britz et al. 2009; Britz and Conway 2016), and a sound-producing drumming apparatus (Conway and Britz 2007). However, such novelties appear to be restricted to developmentally truncated miniatures (e.g., *Paedocypris*, *Danionella*, and *Sundadanio*). The absence of novelties in proportioned dwarves thus suggests that developmental truncation may play an important role in escaping evolutionary and developmental constraints imposed by the species' *Bauplan* and in opening up new evolutionary avenues for drastic morphological change (Britz and Conway 2009, 2016; Conway et al. 2017).

This study represents the first report on genomes of highly developmentally truncated vertebrate species. It illustrates how the unique features of *Paedocypris* at the phenotypic level (i.e., extreme miniaturization and dramatic developmental truncation) are paralleled at the genomic level by the unprecedented loss of *Hox* genes and other important developmental genes. Several of these genes are directly involved in bone differentiation, mirroring the largely unossified, progenetic, skeleton of these miniature species.

Recent investigation of the Gulf pipefish genome (Small et al. 2016) has revealed a loss of the last [final refers to what?] *Hox7* paralog in this species. This has revived the disputed hypothesis that the lack of ribs in both pufferfish and the pipefishes can be attributed to the fact that none of these species have any remaining *Hox7* paralogs (Amores et al.

2003). Interestingly, the *Paedocypris* species show a similar loss of the last *Hox7* paralog (*hoxb7a*), yet the two species investigated here do feature ribs. The ribs are, however, reduced and remain poorly ossified (Britz and Conway 2009), suggesting that although the *Hoxa7/Hoxb7* genes are not the only essential genes for rib development, they do appear to have an influence on their development, as suggested by earlier experiments in mice (Chen et al. 1998).

Finally, the observed loss of *Hox13* genes (*Cb* and *Da*) in the two *Paedocypris* species is of special interest as these genes represent the termination of the patterning system. *Hoxd13* was also one of the genes targeted in the successful CRISPR-Cas9 knockout experiment in the zebrafish (Nakamura et al. 2016), that showed that the *Hox* genes play a very similar role in patterning during development of both fins and bones, and thus elucidated the evolutionary transition from fins to limbs.

## Conclusion

The naturally simplified species of the genus *Paedocypris*, having escaped the developmental, and—as we report here—genetic constraints of their cyprinid *Bauplan*, emerge as a novel opportunity to investigate phenotype–genotype relationships in vertebrate development. These naturally occurring extreme phenotypes with organism-wide developmental truncation and a number of evolutionary novelties, have the potential to aid and complement future research on model organisms in our quest to understand how phenotypic diversity is generated during vertebrate evolution and development. We propose that future studies of similar nature should consider using *Paedocypris* species as a complementary system to the zebrafish model, due to its already limited *Hox* gene repertoire, yet relatively close phylogenetic relationship with zebrafish.

## Data Availability

The whole-genome sequencing data have been deposited at the EMBL Nucleotide Sequence Database (ENA) under accession PRJEB15524, with the sample identifiers ERS1366602 (*P. carbunculus*) and ERS1366603 (*P. micromegethes*). The assemblies and annotation files are available for download at figshare (figshare DOI: 10.6084/m9.figshare.3969018), whereas custom scripts have been placed on GitHub ([https://github.com/uio-cees/Paedocypris\\_gene\\_stats](https://github.com/uio-cees/Paedocypris_gene_stats)). All alignments and trees for the duplicated genes (fig. 2c and d) can be downloaded from figshare (10.6084/m9.figshare.5739627).

## Supplementary Material

Supplementary data are available at *Genome Biology and Evolution* online.

## Funding

This work was supported by grants from the Naturhistorisches Museum Bern to L.R. and the Research Council of Norway (RCN grants 199806 and 222378) to K.S.J. H.H.T. acknowledges funding from the National University of Singapore (NUS, R-154-000-318-112) and Lee Kong Chian Natural History Museum. W.S. acknowledges funding from the European Research Council (ERC) and the Swiss National Science Foundation (SNF). Fieldwork in the peat swamp forests in Malaysia and Indonesia was funded by the Natural Environmental Research Council (NERC; NE/F003749/1, to L.R. and R.B.), National Geographic (8509-08, to L.R.), and the North of England Zoological Society (to L.R.).

## Acknowledgments

Fieldwork in Sarawak was conducted under permits issued by the Economic Planning Unit, Prime Minister's Department, Malaysia UPE 40/200/19/2534) and the Forest Department Sarawak (NCCD.970.4.4[V]-43) and fieldwork in Indonesia was conducted under permits issued by the Indonesian Institute of Sciences (LIPI) and the Kementerian Negara Riset dan Teknologi (RISTEK; 3/TKPIPA/FRP/SM/III/2012). We thank E. Adamson H. Budianto H. Ganatpathy, S. Lavoué, M. Lo, H. Michael, and S. Sauri for their help in the field. All computational work was performed on the Abel Supercomputing Cluster (Norwegian metacenter for High Performance Computing [NOTUR] and the University of Oslo) operated by the Research Computing Services group at USIT, the University of Oslo IT-department. Sequencing library creation and high-throughput sequencing were carried out at the Norwegian Sequencing Centre (NSC), University of Oslo, Norway.

## Author Contributions

M.Mal., R.B., K.S.J., W.S., and L.R. conceived the project and designed scientific objectives. R.K.H., N.Y., and L.R. collected and prepared the fish samples. M.Mal. and O.K.T. conducted the genome assembly, annotation, and bioinformatics analysis for quality assessment. M.Mal. conducted the *Hox* gene analysis and analysis of lost and duplicated genes. M.Mal. and M.Mat. analyzed gene-space evolution. M.Mal. conducted repeat content analyses. M.Mat. performed phylogenetic analyses and ancestral-state reconstructions. M.Mal. and O.K.T. collaborated on the chromosome rearrangement analysis. M.Mal., R.B., M.Mat., K.S.J., W.S., and L.R. prepared the manuscript. O.K.T., R.K.H., N.Y., and H.H.T. participated in discussions and provided valuable suggestions. M.Mal., M.Mat., and O.K.T. prepared the figures. All authors read and approved the final manuscript.

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Associate editor: Maria Costantini